# JCOS Rec'd PCT/PTO 02 NOV 2009

Practitioner's Docket No. 2003DE101

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of: Heino Heckmann, et al.

Application No.: 10/542,529

Group No.:

Filed: July 15, 2005

For: 
 ß-isoindigo Coloring Agent

Commissioner for Patent P.O. Box 1450 Alexandria, VA 22313-1450

# **INFORMATION DISCLOSURE STATEMENT**

### CERTIFICATION UNDER 37 C.F.R. Sections 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

#### **MAILING**

Xį	deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.						
	37 C.F.R. section 1.8(a)		37 C.F.R. section 1.10*				
X	with sufficient postage as first class mail.	[]	as "Express Mail Post Office to Address"  Mailing Label No (mandatory)				
	TRA	NSMISSION					
[]	transmitted by facsimile to the Patent and Tradem	ark Office (571)	<u>273-8300</u> .				
Date: .	Vit. 31, 2005	Signa	Signature & Shop				
		(type o	Jackie L. Wise or print name of person certifying)				

\*WARNING:

Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. section 1.10(b).

"Since the filing of correspondence under [section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

# Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1.	Enclosures accompanying this Information Disclosure Statement are:  1a. S Form PTO-1449.  1b. Copies of Information Disclosure Statement citations.  1c. PCT Search Report  1d. Copies of Co-pending U.S. Applications.
2.	This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3.	This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4.	This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5.	This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6.	This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.

	Serial No. 10/542,529
	Page 3
7.	The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.
8.	In addition to the documents cited, the Office's attention is directed to the following co-pending U.S. Application Serial Number(s), copies of which are attached for the convenience of the Office:
	Respectfully submitted,
	Anthony A. Bisulca
	Registration No. 40,913

Applicant Heino Heckmann, et al.:

# **CUSTOMER NO. 25,255**

Clariant Corporation Industrial Property Department 4000 Monroe Road Charlotte, North Carolina 28205 Telephone: 704/331-7151

Facsimile: 704/331-7707

FORM PTO-1449

U.S DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(37 CFR 1.98(b))

APPLICANT: Heino Heckmann et al.

FILING DATE: 7/15/2005 GROUP:

#### U.S. PATENT DOCUMENTS

Examiner Initial		Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	2254354	9/2/41	Davies			
	АВ	4707548	11/17/87	Lotsch et al.			
	AC	4564680	1/14/86	Rotf et al.			
	AD						
	ΑE						
	AF						
	AG						
		FOREIGN PA	ATENT OR PUBL	ished foreign pa	TENT APP	LICATION	
		DOCUMENT NUMBER	PUB. DATE	COUNTRY			TRANSLATION
	ва	EP 0190692	8/13/86	EPO			See AB above
	вв	EP 0101954	8/10/82	EPO			See AC above
	ВС						
	BD						
	BE						
	BF						
	BG	-					

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication\*\*)

EXAMINER			DATE CONSIDERED		
	CD	R.P. Smirnov et al., "Synthesis and Study of Properties of Macrocycles, I. Reaction of Diamio-ß-isoindigo with salts of hydrazine." Vysshikh, Uchebn. zavednii, Khim. I Khim Tekhnol. No. 6 pp. 1022-1024 (1963) AND English Abstract.			
	СС	PCT Search Report for PCT/	PCT Search Report for PCT/DP 03/14201, 5/7/2004		
	СВ	PCT IPER for PCT/EP 03/1420	PCT IPER for PCT/EP 03/14201, 9/22/2005		
	CA	English Translation of PCT	English Translation of PCT IPER for PCT/EP03/14201, 9/22/2005		